

January 20, 2018

Ms. Donna Nickerson
Commission Secretary
State of Delaware
Public Service Commission
861 Silver Lake Blvd.
Cannon Building, Suite 100
Dover, Delaware 19904

Dear Ms. Donna Nickerson,

CC: Shona Marshall and Malika Davis,

I would like to formally respond in the Matter of Apollo Edison LLC for a Certificate to Provide Electric Supply Services within the State of Delaware – PSC Docket No. 17-1160. Apollo Edison LLC received the Letter from the Commission seeking additional information to approve the application. The updated information is provided within the proceeding pages that should rectify any outstanding items. If any additional information is sought, please let the Company know at the Commission's earliest convenience. I appreciate your assistance in this process, and thank you!

A handwritten signature in black ink, appearing to read "Kenneth S. Antos", is shown on a light gray rectangular background.

Kenneth S. Antos
Principal
Apollo Edison LLC
310 Nottingham Drive
Spring City, PA 19475
kantos@apolloedison.com
(508) 612-4288

Delaware PSC Letter to Apollo Edison LLC



STATE OF DELAWARE

PUBLIC SERVICE COMMISSION
881 SILVER LAKE BLVD.
CANNON BUILDING, SUITE 100
DOVER, DELAWARE 19904

TELEPHONE:
FAX:

(302) 738-7500
(302) 739-4849

January 10, 2018

Kenneth S. Antos
Apollo Edison, LLC
310 Nottingham Drive
Spring City, PA 19475

Re: In The Matter of Apollo Edison, LLC For A Certificate to Provide Electric Supply Services within the State Of Delaware (Submitted December 30, 2017) - PSC Docket No. 17-1160

Dear Mr. Antos,

The Delaware Public Service Commission ("Commission") is in receipt of the (the "Application") by Apollo Edison, LLC (the "Company") for certification to broker electric supply services in Delaware. In order to recommend approval of the Application, additional information is necessary, as indicated below:

Section 2.2.3 Authorization

- Please submit the permanent copy of the Delaware business license.

Section 2.2.6 Corporate Structure

- Please provide a graphical depiction or submit a waiver for this requirement of the Supplier Rules pursuant to Section 2.9 of the Supplier Rules.

Section 2.2.16 Financial Information

- Please provide two letters of reference from financial/banking institutions in which the Company has a professional relationship.

Section 2.2.19 Retail Experience

- Please provide a response to the following question regarding the technical experience of the officer responsible for DE operations:
 - Is Kenneth S. Antos currently employed by WGL Energy Services, Inc. and Global Phases, LLC? Please explain.
- Is the Company currently active in the states of Connecticut and New York? If so, how long has the Company been operating in these jurisdictions?
- Please provide the docket and/or case numbers for Connecticut and New York filings.

Section 2.5 Verification of Application

- The statement of verification contains an error in the spelling of the Company's name. Please resubmit the statement of verification for the original application with the correct name of the Company.

Delaware PSC Letter to Apollo Edison LLC (Continued)

Kenneth S. Antos
January 10, 2018
Page 2 of 2

- A separate statement of verification is required for all subsequent filings to the Docket.

The Company may request that the Commission provide confidential treatment to some or all of the information contained in a document that you either wish to e-file using DelaFile or file by paper copy. When requesting confidential treatment for documents filed with the Commission, please simultaneously file a redacted, public version of the same document and an attestation that the information is not subject to inspection or disclosure to the public.

Staff respectfully requests a response to this notice of deficiency on or before February 12, 2018. Please note that 26 Del. Admin. C. § 3001 (the "Supplier Rules") section 2.4 provides that incomplete applications will be closed four (4) months after the filing date. If the application is not completed within the four (4) month time frame the applicant forfeits their application filing fee and must resubmit the application with an additional filing fee. The responses and verifications can be uploaded as a subsequent filing in DelaFile under Docket No 17-1160.

Should you have any questions or if I can be of any assistance you may contact me at (302) 736-7500 or send questions by email to Clishona.Marshall@state.de.us.

Sincerely,


Shona Marshall
Shona Marshall
Public Utility Analyst

CC: Ms. Donna Nickerson, Commission Secretary
Ms. Malika Davis, Public Utility Analyst

Section 2.2.3 Authorization

Please submit the permanent copy of the Delaware business license.

Please see a permanent copy of the Delaware Business License for Apollo Edison LLC below:

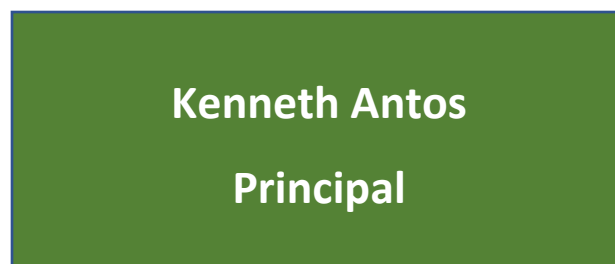
LICENSE NO. 2017608423		STATE OF DELAWARE		VALID	
POST CONSPICUOUSLY		DIVISION OF REVENUE		01/01/2018 - 12/31/2018	
				NOT TRANSFERABLE	
BUSINESS CODE 99		LICENSED ACTIVITY		PROFESSIONAL AND/OR PRSL SRVCS-UNCLASSIFIED	
GROUP CODE 7				PROFESSIONAL AND/OR PERSONAL SERVICES	
DLN: 17-92332-01-000					
DATE ISSUED: 11/29/2017					
LICENSE FEE: \$ 75.00		**VALIDATED**		2018	
MAILING ADDRESS		BUSINESS LICENSE		BUSINESS LOCATION	
APOLLO EDISON LLC				APOLLO EDISON LLC	
310 NOTTINGHAM DR				310 NOTTINGHAM DR	
SPRING CITY PA 19475-3425				SPRING CITY PA 19475-3425	
IS HEREBY LICENSED TO PRACTICE, CONDUCT OR ENGAGE IN THE OCCUPATION OR BUSINESS ACTIVITY INDICATED ABOVE IN ACCORDANCE WITH THE LICENSE APPLICATION DULY FILED PURSANT TO TITLE 30, DEL CODE.				Jennifer R. Hudson, Esquire DIRECTOR OF REVENUE	

Section 2.2.6 Corporate Structure

Please provide a graphical depiction or submit a waiver for this requirement of the Supplier Rules pursuant to Section 2.9 of the Supplier Rules.

A graphical depiction of the Corporate Structure of Apollo Edison LLC can be found below. Please note that Apollo Edison LLC is a single-member LLC and currently has one employee, the Principal, Kenneth Antos.

Apollo Edison LLC Corporate Structure



Section 2.2.16 Financial Information

Please provide two letters of reference from financial/banking institutions in which the Company has a professional relationship.

Please see letters of financial/banking reference for Apollo Edison LLC below. There is one letter from Morgan Stanley Smith Barney LLC and 2 letters from PNC Bank, N.A.

Morgan Stanley

Windsor Management
Two Tower Place
Suite 100
Bryn Mawr, PA 19008
tel 484.547.7054
fax 484.538.2331
toll free 800.371.8196

January 19, 2018

The Public Service Commission of Delaware
861 Silver Lake Blvd
Cannon Building, Suite 100
Dover, DE 19904

RE: Reference Letter for Apollo Edison, LLC

Regarding Apollo Edison, LLC, we are glad to have known the employees and management of this firm since 2009. Over the years we have developed a professional, working relationship with them and conducted several financial transactions on their behalf. This relationship has involved a solid professional working partnership, frequently meeting with each other to review financial and investment plans. Additionally, all fees and transactions that Apollo Edison, LLC have been responsible for, have been met with the utmost professional speed and courtesy.

The firm and management of Apollo Edison has always and continues to maintain itself in good standing with Morgan Stanley.

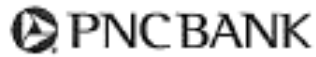
Please feel free to contact us directly should you require additional information.

Sincerely,



Carl D. Morgan
Financial Planning Specialist
First Vice President
Financial Advisor

Section 2.2.16 Financial Information (Continued)



01/17/2018

APOLLO EDISON LLC
310 NOTTINGHAM DR
Spring City PA, 19475

Dear Ms. Donna Nickerson

In response to your request that PNC Bank, National Association provide written verification concerning your (checking/savings/certificate of deposit) account(s), we are providing the following information:

<u>Account No.</u>	<u>Date Opened</u>	<u>Balance as of date of this letter</u>
8405505003	12/14/2017	\$ [REDACTED]

This information is subject to any outstanding items or charges.

Sincerely,

PNC Bank, National Association

A handwritten signature in cursive script that reads 'Megan E. Miller'.

Megan E. Miller
Sales and Service Rep

CUSTOMER AUTHORIZATION/ ACKNOWLEDGEMENT

I/we hereby acknowledge that I/we have requested and authorized PNC Bank, National Association to provide this written verification concerning my/our (checking/savings/certificate of deposit) account(s).

Dated this January day of 17th, 2018.

Customer Signature: [Signature]

Customer Signature: _____

Section 2.2.16 Financial Information (Continued)



01/17/2018

KENNETH S. ANTOS
310 NOTTINGHAM DRIVE
Spring City PA, 19475

Dear Ms. Donna Nickerson

In response to your request that PNC Bank, National Association provide written verification concerning your (checking/savings/certificate of deposit) account(s), we are providing the following information:

<u>Account No.</u>	<u>Date Opened</u>	<u>Balance as of date of this letter</u>
1028507664	04/15/2009	\$ [REDACTED]

This information is subject to any outstanding items or charges.

Sincerely,

PNC Bank, National Association

A handwritten signature in cursive script that reads "Megan E. Miller".

Megan E. Miller
Sales and Service Rep

CUSTOMER AUTHORIZATION/ ACKNOWLEDGEMENT

I/we hereby acknowledge that I/we have requested and authorized PNC Bank, National Association to provide this written verification concerning my/our (checking/savings/certificate of deposit) account(s).

Dated this January day of 17th, 2018.

Customer Signature: [Handwritten Signature]

Customer Signature: _____

Section 2.2.19 Retail Experience

Please provide a response to the following question regarding the technical expertise of the officer responsible for DE operations:

**Is Kenneth S. Antos currently employed by WGL Energy Services, Inc. and Global Phases, LLC?
Please Explain.**

Kenneth S. Antos is not currently employed by either WGL Energy Services, Inc. nor Global Phases, LLC.

Mr. Antos has recently terminated his employment at WGL Energy in December 2017 to start Apollo Edison LLC. It would have been a conflict of interest to continue employment at WGL, hence the resignation.

Global Phases, LLC was a holding company LLC for a few business ventures that Mr. Antos was involved with. He no longer is active in these businesses, but still uses a kantos@globalphases.com email as his personal email address. There have not been business dealings in Global Phases, LLC since 2014.

Mr. Antos professional resume, stating presently employed at WGL Energy and Global Phases was incorrect and has been updated accordingly.

Is the Company currently active in the states of Connecticut and New York? If so, how long has the Company been operating in these jurisdictions?

Apollo Edison LLC is only actively marketing in Connecticut and New York, but has not performed any energy supply transactions. These 2 states do not require energy broker licensing, and the Principal is originally from CT. While licenses are pending in markets like DE & PA, Apollo Edison is actively marketing in CT and NY. To Date, there have not been any transactions performed or revenues generated from the marketing efforts.

Please provide the docket and/or case numbers for Connecticut and New York filings.

Connecticut and New York do not require energy broker licensing. Due to that fact, there are not filings, dockets or case numbers in those states.

Apollo Edison did receive Docket Numbers in the Commonwealth of Pennsylvania for Electricity & Natural Gas Broker Licensing. These Docket Numbers are listed below for reference purposes.

PA Electricity Broker Docket Number: A-2018-2640992

PA Natural Gas Docket Number: A-2018-2641004

Section 2.5 Verification of Application

The statement of verification contains an error in the spelling of the Company's name. Please resubmit the statement of verification for the original application with the correct name of the Company.

Resubmitting the original Verification of Application correcting the spelling of Apollo Edison LLC below:

Section 2.5 Verification of Application

The statement of verification contains an error in the spelling of the Company's name. Please resubmit the statement of verification for the original application with the correct name of the Company.

Resubmitting the original Verification of Application correcting the spelling of Apollo Edison LLC below:

Delaware Public Service Commission
Certificate of Approval

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Attachment A VERIFICATION

STATE OF PA)
COUNTY OF Chester) ss.

On this 20th day of January, 2019, personally came before me, the undersigned, a Notary Public in and for the state and county aforesaid, Kenneth S. Ambros [name of individual who is signing] as the Principal [authority of individual, or title of individual who is signing, e.g., President, Vice President, Sole Member Manager, Trustee, etc.] of Apollo Edison [name of company or entity that is filing], known to me personally to be such by having presented to me satisfactory evidence of identity, and acknowledged this document to be [his or her] act and deed and the act and deed of such LLC [type of filer, e.g., corporation, limited liability company, etc.], that the signature of such individual is in [his or her] own proper handwriting, and that the facts set forth in this application [type of filing, e.g., application, petition, etc.] are true and correct to the best of [his or her] knowledge, information, and belief.

Kenneth S. Ambros
Signature of individual
Printed Name: Kenneth S. Ambros

SIGNED AND SWORN (OR AFFIRMED) before me on the 20th day of January, 2019 by Kenneth S. Ambros (name of individual who signed above)

Timothy Bottomley
Signature of Notarial Officer

SEAL

Notary
Title (e.g., Notary Public)

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
TIMOTHY BOTTOMLEY, Notary Public
East Pottsville Township, Chester County
My Commission Expires October 22, 2019

My Commission Expires:
October 22, 2019

Section 2.5 Verification of Application (Continued)

A separate statement of verification is required for all subsequent filings to the Docket.

Submitting an additional Verification of Application for subsequent filing within PSC Docket No. 17-1160:

Section 2.5 Verification of Application (Continued)

A separate statement of verification is required for all subsequent filings to the Docket.

Submitting an additional Verification of Application for subsequent filing within PSC Docket No. 17-1160:

Delaware Public Service Commission
Electric Broker Certificate

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Attachment A VERIFICATION

STATE OF PA)
COUNTY OF Chester) ss

On this 20th day of January, 2018, personally came before me, the subscriber, a Notary Public in and for the state and county aforesaid, Kenneth S. Antos [name of individual who is signing] as the Principal [authority of individual or title of individual who is signing, e.g., President, Vice President, Sole Member Manager, Trustee, etc.] of Apple Edgewood LLC [name of company or entity that is filing], known to me personally to be such or having presented to me satisfactory evidence of identity, and acknowledged this document to be [his or her] act and deed and the act and deed of such LLC [type of filer, e.g., corporation, limited liability company, etc.], that the signature of such individual is in [his or her] own proper handwriting, and that the facts set forth in this Application [type of filing, e.g., application, petition, etc.] are true and correct to the best of [his or her] knowledge, information, and belief.

Kenneth S. Antos
Signature of individual
Printed Name: Kenneth S. Antos

SIGNED AND SWORN (OR AFFIRMED) before me on this 20th day of January, 2018 by Kenneth S. Antos (name of individual who signed above).

Timothy Bottomley
Signature of Notarial Officer
Notary
Title (e.g., Notary Public)

My Commission Expires:

October 22, 2019

SEAL

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
TIMOTHY BOTTOMLEY, Notary Public
East Pottsville Township, Chester County
My Commission Expires October 22, 2019